Established in 1989 by David Rockefeller and his late wife, Peggy, The David Rockefeller Fund provides support to charitable and public organizations in communities where David Rockefeller lives outside of New York City.

**Directors and Officers**

Colin G. Campbell  
Adam Growald  
Daniel Growald  
Eileen Growald  
Lee Halprin  
Miranda M. Kaiser  
Marnie S. Pillsbury, Executive Director  
Abby Rockefeller  
Camilla Rockefeller  
David Rockefeller, Jr., President  
Richard E. Salomon, Secretary/Treasurer  
James S. Sligar

**Staff**

Marnie S. Pillsbury, Executive Director  
Marianna S. Brown, Program Associate
PROGRAM STATEMENT

Grants in the Fund’s Citizenship program are generally restricted to organizations serving the communities of Mt. Desert Island, Maine, the Tarrytown area of Westchester County, New York and the Livingston area of Columbia County, New York.

Directors of the Fund are charged with ensuring that these grants are consistent with the general philosophy and specific interests of David Rockefeller and his late wife, Peggy. Focus is on education; health and social service agencies; community groups; and environmental and preservation organizations. Special emphasis is placed on opportunities to help preserve the character and integrity of these regions.

In addition to the Fund’s Citizenship program described above, a separate, Family Interest grantmaking program was established in 2001. Guided by the theme of shared family interests, current funding areas are: Arts and Education, Criminal Justice, and the Environment. Unsolicited applications are not currently accepted for this program.
**APPLICATION INFORMATION**

The Board of Directors meets to consider applications in the spring and fall. There are no formal deadlines by which applications must be submitted. The review and decision process depends upon the number and nature of all received applications and the availability of funds.

**Citizenship Program**

Applicants for the Citizenship program may submit a letter of inquiry addressed to the Executive Director that describes the organization and the program for which it seeks support. Included with the letter should be a copy of the organization’s mission statement, annual operating budget, and its IRS tax-exempt status determination letter.

**Family Interest Program**

Unsolicited applications are not accepted for this program.
2006 Citizenship Grants Program

All grants in the Citizenship program are for general operating support unless otherwise noted.

In 2006, 83 grants were awarded to 80 organizations totaling $212,500.

Maine

46 grants to 44 organizations totaling $124,250.

Abbe Museum $1,000
Exhibitions and programs focus on the Native American tradition in Maine and the broader Native American experience, past and present.

Abnaki Girl Scout Council 1,000
One of two Councils in Maine serving more than 4,500 girls.

Arcady Music Festival 1,000
Provides a concert series throughout the year and music programs for youth.

Bar Harbor Fire Department 750

Bar Harbor Music Festival 1,000
Presents a summer concert series and provides performance opportunities for young musicians.

The Boy Scouts of America - Katahdin Area Council 1,000
Serves 9,000 scouts and leaders in six counties in Northeastern Maine.

College of the Atlantic 5,000
A small liberal arts college offering an interdisciplinary program in Human Ecology.

College of the Atlantic - Summer Field Studies 500
A summer experiential educational program.

Community Health & Counseling Services 2,000
Provides home health care and mental health services.

Downeast Horizons 1,000
Serves people with developmental disabilities with assisted living and employment training programs.

Farnsworth Art Museum & Wyeth Center 2,500
Rockport, Maine museum dedicated to telling the story of Maine’s role in the history of American art.

Friends of Acadia 10,000
Works to protect the environmental, cultural and historical heritage of Acadia National Park.

**Friends of Acadia - Youth Conservation Corps**
1,000
Summer work program for local teens and college students to restore trails and monitor park conditions.

**Wendell Gilley Museum**
1,000
Established to preserve and display woodcarvings of birds by Wendell Gilley.

**Great Harbor Maritime Museum**
1,000
Offers exhibits on Maine wooden boats and boat builders and provides programs for local youth.

**H.O.M.E.**
2,000
Provides shelter, counseling, education, job, and home ownership opportunities for residents of rural Maine.

**Island Institute**
1,000
Programs to support Maine’s year-round island communities and protect its coastal environment.
The Jackson Laboratory 5,000
A leading genetic research facility using mouse models to study cancer, diabetes, and other diseases.

Kids' Corner Community Child Care 1,000
Provides infant and childcare services.

Maine Coast Heritage Trust 15,000
Protects coastal and other lands important to Maine’s distinct character, landscape, and environment.

Maine Medical Center 2,000
The largest teaching hospital in Maine.

Maine Public Broadcasting 2,500
Provides national radio and television programming for Maine residents.

M.D.I. Alcohol & Drug Abuse Group 1,000
Provides education, prevention and treatment programs through the Acadia Family Center.

Mt. Desert Festival of Chamber Music 500
Summer music festival offering a series of concerts on Mt. Desert Island.

Mt. Desert Fire and Rescue Association 3,000

Mt. Desert Island Biological Laboratory 2,500
Studies marine organisms for biomedical research and offers programs for young scientists.

Mt. Desert Island Hospital 5,000
The primary health care facility on Mt. Desert Island.

Mt. Desert Island YMCA 3,500
Offers a wide range of community services, recreation, and education programs.
Mt. Desert Medical Center  1,500
Provides health care on Mt. Desert Island during busy summer months.

Mt. Desert Nursery School  1,000
Year-round day-care services.

Mt. Desert Nursing Association  2,500
Provides home health care for residents of Mt. Desert.

Mt. Desert Summer Chorale  1,000
Music performance group open to all residents of the Island.

Mt. Desert Township Scholarship Program  2,000
Awards financial aid to Mt. Desert high school students for college and vocational school.

Natural Resources Council of Maine  2,500
Develops public support for the preservation and restoration of Maine’s natural environment.

Nature Conservancy - Maine Chapter  2,500
Through land conservation and science, works to protect Maine’s extensive natural resources.

The Neighborhood House  1,500
Provides year-round recreational and educational programs for adults and young people ages 8-14.

Northeast Harbor Ambulance Service  500

Northeast Harbor Library  1,000
Serves residents of Northeast Harbor and many of the surrounding areas.
<table>
<thead>
<tr>
<th>Organization</th>
<th>Grant Amount</th>
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</thead>
<tbody>
<tr>
<td>Portland Museum of Art</td>
<td>1,000</td>
</tr>
<tr>
<td>Sea Coast Mission</td>
<td>2,500</td>
</tr>
<tr>
<td>Seal Harbor Library Association</td>
<td>500</td>
</tr>
<tr>
<td>Seal Harbor Village Improvement Society</td>
<td>2,500</td>
</tr>
<tr>
<td>Town Hill Fire Company</td>
<td>500</td>
</tr>
<tr>
<td>United Church of Christ Northeast Harbor &amp; Seal Harbor</td>
<td>5,000</td>
</tr>
<tr>
<td>Willowind Therapeutic Riding Center</td>
<td>1,000</td>
</tr>
<tr>
<td>Island Housing Trust</td>
<td>20,000</td>
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</table>

**SPECIAL ONE-TIME GRANT**

<table>
<thead>
<tr>
<th>Organization</th>
<th>Grant Amount</th>
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</thead>
<tbody>
<tr>
<td>Island Housing Trust</td>
<td>20,000</td>
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</tbody>
</table>

IHT's mission is to develop a core of affordable housing to meet the needs of the year-round MDI community working in collaboration with local government and realtors, and through partnerships with the Ellsworth-Mount Desert Island Housing Authority. This two-year grant (10,000 each year) supports the Ripple Pond affordable housing development project.

**MAINE TOTAL** $124,250
Westchester County, New York

25 grants to 24 organizations totaling $73,750.

**Boy Scouts of America, Westchester-Putnam Council**
- $1,500
- Offers year-round scouting programs and summer camp facilities.

**Boys & Girls Club of Northern Westchester**
- 1,000
- Serves children in northern Westchester with a wide range of activities including after-school programs.

**Caramoor**
- 1,500
- Sponsors summer music festivals with leading artists and offers educational programs for children.

**Family Service of Westchester**
- 2,500
- Provides a broad range of counseling and support services for families and individuals.

**Family YMCA at Tarrytown**
- 5,000
- Provides a residence and services including childcare, recreation, and computer classes.

**Friends of the Rockefeller State Park Preserve**
- 1,500
- Builds support for the Preserve’s programs and usage, and raises funds to help maintain the Park.

**Girl Scouts of Westchester * Putnam**
- 1,500
- Offers year-round scouting programs and operates resident and day camps.

**Historic Hudson Valley**
- 10,000
- A leader in historic preservation and education, HHV operates six historic properties in the Hudson River Valley.
Historic Hudson Valley, Summerweek 1,000
Offers a “living history” day camp program for children.

Historical Society of the Tarrytowns 500
Preserves the history of the Tarrytown area through collections, publications, and displays.

Marymount College of Fordham University 1,000
Small Liberal Arts College for women.

Elizabeth Mascia Child Care Center 1,000
Provides day-care, after-school services, and an infant center with parenting classes for teen mothers.

Open Door Family Medical Center North Tarrytown 5,000
Provides a broad range of health care services.

Phelps Memorial Hospital Center 7,500
Acute care hospital providing medical and mental care.

Planned Parenthood Hudson Peconic 5,000
With 13 medical centers in four counties, provides a full range of reproductive health care services.

Puppies Behind Bars 1,000
Teaches prison inmates to train puppies that will become law enforcement and guide dogs.

Salvation Army 2,500
Operates soup kitchens and food pantries for needy families at six community centers in Westchester.

Scenic Hudson 5,000
Works to protect the Hudson River’s scenic landscapes and cultural and historic resources.

Teatown Lake Reservation 1,000
The largest private preserve in Westchester, offering hiking trails, exhibits, and family programs.

Union Church of Pocantico Hills 5,000
Beautiful church known for its windows by Chagall and Matisse.

United Way of the Tarrytowns 10,000
Supports affordable housing, child-care, job training, and youth development programs at local agencies.

Warner Library of the Tarrytowns 1,000
Provides traditional library and community programs.

Westchester Land Trust 1,000
Preserves open space in Westchester County through community education, zoning, and easements.

<table>
<thead>
<tr>
<th>Organization</th>
<th>Amount</th>
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</thead>
<tbody>
<tr>
<td>Hilltop Engine Co., No. 1</td>
<td>1,000</td>
</tr>
<tr>
<td>Volunteer and Exempt Firemen's Association of Sleepy Hollow, NY</td>
<td>750</td>
</tr>
</tbody>
</table>

**Westchester County Total** $73,750
Columbia County, New York

12 grants to 12 organizations totaling $14,500.

Churchtown Fire Company # 1 $ 500

Columbia-Greene Hospital Foundation 2,500
Supports the hospital and its ten family care centers.

Columbia Land Conservancy 5,000
Protects and preserves farmland, wildlife habitat, scenic lands, and open space.

Germantown Library 1,000
Serves Germantown and the surrounding communities.

Germantown Hose Company # 1 500

Livingston Free Library 500
Serves the Livingston community.

Livingston Pumper Co., No. 1 1,000

Olana Partnership 1,000
Maintains the residence and studio of Hudson River School artist, Frederic Church.

Southern Columbia Ambulance Squad 1,000

Taghkanic Volunteer Fire Company No. 1 500

United Way of Columbia & Greene Counties 1,000
Supports a wide range of programs and services.

COLUMBIA COUNTY TOTAL $ 14,000

CITIZENSHIP PROGRAM TOTAL $ 212,500
Grants cycles in the Family Interest program typically are 1-3 years in length. Unsolicited proposals are not accepted for this program.

In 2006, 22 grants were made to 22 organizations totaling $400,000.

**Arts and Education**

**Arts Connection** $20,000

Arts Connection provides dance, theater, music, and visual arts instruction to students in grades K-12, in public schools throughout New York City. Artists-in-residence program coordinators and in-school teachers collaborate to design 10-20 week in-school-time programs that meet educational goals for individual classrooms and grade levels.

**Chess-in-the-Schools** $15,000

Chess-in-the-Schools provides chess instruction to public school children in the 2nd, 3rd, and 6th grades as well as high school students throughout the five boroughs of New York City. Through classroom, after-school, weekend, and summer programs, Chess helps young people develop skills in critical thinking and creative problem solving, as well as fostering self-esteem and social development.

**Education Through Music** $25,000

Education Through Music was founded in 1991 to promote the use of music as a means of enhancing students’ academic performance and general development. ETM partners with New York City schools serving low-income students, providing weekly year-long music instruction for entire schools in grades K-8.

**Global Kids** $10,000

Global Kids’ mission is to help urban youth become successful students and global and community leaders. Through its leadership development and academic enrichment programs, Global Kids uses interactive and experiential methods to educate youth in middle and high school about international and public policy issues.

**Lincoln Center Institute** $20,000

*Focus Schools Collaborative*

The Institute brings dance, music, theater, visual arts, and architecture into pre-K-12 classrooms across the New York Area to help students and teachers learn about and through the arts. Using an innovative model known as "aesthetic education," young people are given in depth experiences with works of art, understanding them through a process of inquiry, reflection, and research.

**Studio in a School** $15,000

Studio’s professional teaching artists bring studio arts instruction to New York City’s K-12 public schools helping teachers integrate art with other
academic subjects. In addition to regular lessons for students, Studio’s programs include development workshops for teachers, and a workshop designed to involve parents in their children's creative learning experiences.

**Theater for a New Audience**
15,000

TFANA is a New York-City based classical theater company that promotes the performance and study of Shakespeare and classical drama in America. TFANA’s principal arts and education program is its World Theatre Project, a 12-week "actor-in-residence" program designed to introduce children in grades 5-8 to Shakespeare. Each year, in-school theatre programs are built around a play that the TFANA off-Broadway company is producing.

**Working Playground**
15,000

Founded in 1991 to bring arts into New York City classrooms, Working Playground provides underserved schools and communities with a range of programs including, theater, video, documentary film, visual arts, dance, poetry, and fiction writing programs for young people in grades K-12. By integrating arts into the academic school day, Working Playground seeks to engage non-traditional learners by helping them to understand curricular content as well as providing teachers with alternative ways of presenting academic subject matter.

**Arts and Education Total**
$135,000
Criminal Justice

Abraham House $ 20,000

Founded in 1993 by chaplains at Rikers Island detention facilities, Abraham House operates a small residence that offers a rigorous alternative-to-incarceration program for men as well a similar off-site program for women. Services include education, drug treatment, job training, and family relations counseling. Abraham House also supports families of prisoners with counseling, legal advice and housing referrals, as well as after-school programs for children.

Advocates for Children 25,000

The Family Care Education Advocacy Project

Funded in 1971, AFC provides educational support, advocacy, and case management services, for low-income families. The Family Care Education Advocacy Project, a joint program between AFC and The Probation Department, assists court-involved young people access appropriate educational services to which they are entitled.

College and Community Fellowship 25,000

Founded in 2000, and housed at the CUNY Graduate Center's Center for the Study of Women and Society, CCF provides academic and financial counseling, tuition assistance, mentoring, and support services for formerly incarcerated women and some men seeking to complete college and/or graduate school. CCF has a zero recidivism rate.
Correctional Association of New York (CA)

Founded in 1844, the CA is a private, non-profit criminal justice policy and advocacy group focusing on prison conditions, sentencing reform, women in prison, and juvenile justice. Its mission is to create a more fair, efficient and humane criminal justice system. The CA is the only non-profit group with legislative authority to visit prisons and report its findings to the public.

Hour Children

Hour Children’s mission is to support imprisoned mothers and their children, both during and after incarceration through job training and other support services. Hour Children manages prison- and community-based programs, five residences, an early childhood center, food pantry, and two thrift stores for incarcerated mothers and their children in the Long Island community where its facilities are located.

Stop Prisoner Rape (SPR)

Founded in 1998 by former inmates, SPR is the only national organization devoted to addressing sexual abuse in prisons. SPR advocates for new laws and policies that ensure institutional accountability; works to change society’s attitudes toward prisoner rape; and promotes access to resources for survivors of sexual assault behind bars.

Criminal Justice Total $135,000
Environment

American Wildlands (AWL) $10,000
AWL works to protect the landscapes and water of the Northern Rockies. Using an advanced and comprehensive Geographic Information System (GIS), AWL analyzes large quantities of complex information to create maps describing the water, land and wildlife of the region's varied landscapes. Conservationists and decision makers throughout the Northern Rockies rely on these maps to guide their work and plan the future of the region.

City Harvest 20,000
Harvest Works Project

The Harvest Works program focuses on increasing access to fresh produce in low-income neighborhoods while supporting local agriculture. Harvest Works procures un-harvested local crops from New York State farmers and redistributes them along with educational materials on nutrition to community feeding programs in low-income areas in New York City.

Hudsonia Limited 20,000

Hudsonia, founded in 1981, conducts environmental research, provides education, training, and technical assistance to protect the Hudson River Valley's natural heritage. Nonpartisan and non-ideological, Hudsonia serves as an advisor in the process of land use decision-making.
ICLEI-Local Governments for Sustainability

Northeast Regional Capacity Center

ICLEI is an international membership organization that includes more than 475 local governments, and governmental organizations committed to achieving sustainable development and improving environmental conditions. ICLEI's Cities for Climate Protection Campaign explicitly address the issue of Global Warming. This grant supports the Northeast Regional Capacity Center.

Resource Media

15,000

Since 1998, Resource Media has provided communications strategy and media outreach services to non-profit organization and coalitions focused on environmental and health issues. Serving as a resource for journalists as well as environmental organizations, Resource Media seeks to improve the breadth and framing of news coverage on environmental issues.

Scenic Hudson

25,000

Scenic Hudson is an environmental organization that safeguards landscapes, protects productive farmland, reclaims and transforms neglected industrial waterfront sites, and purchases strategic properties to revive towns and community centers in and around the Hudson River Valley. This grant supports Scenic Hudson’s work on the development of the five-acre river front park development in Tarrytown, NY known as the Scenic Hudson RiverWalk Park at Tarrytown.
Sonoran Institute 15,000

Founded in 1990, The Sonoran Institute's mission is to work with Western communities to conserve and restore natural landscapes, wildlife, and the cultural values of these lands. Sonoran provides information, training, and technical assistance to help citizens manage growth and address conservation issues facing their communities.

<table>
<thead>
<tr>
<th>ENVIRONMENT TOTAL</th>
<th>$130,000</th>
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<tbody>
<tr>
<td>FAMILY INTEREST</td>
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<tr>
<td>GRANTS TOTAL</td>
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### 2006 Grantmaking

<table>
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<th>Grant Type</th>
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<tbody>
<tr>
<td>Family Interest Grants</td>
<td>$400,000</td>
</tr>
<tr>
<td>Citizenship Grants</td>
<td>212,500</td>
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<tr>
<td>Discretionary Grants</td>
<td>60,000</td>
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<tr>
<td>Matching Grants (est.)</td>
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</tr>
<tr>
<td><strong>Total Grants</strong></td>
<td><strong>$684,500</strong></td>
</tr>
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As of December 31, 2006, the unaudited asset value of The David Rockefeller Fund was $6,165,000. Grants paid during 2006, including Discretionary grants awarded by individual directors, totaled $684,500 and were made to 117 organizations for 114 activities. In addition, a matching grants program for the grandchildren of David Rockefeller paid out $12,000.